



Determining the ultraviolet radiation dose experienced by aerosols using ultraviolet-sensitive dyes

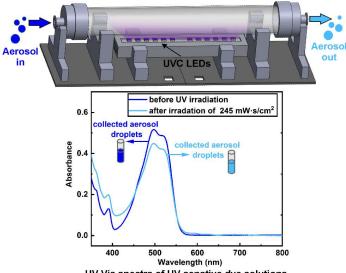
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- 10 Abstract. The application of ultraviolet (UV)-based air disinfection holds promise, but also presents several challenges. Among these, the quantitative determination of the required UV radiation dose for aerosols is particularly significant. This study explores the possibility of determining the UV dose experienced by aerosols without the use of virus-containing aerosols, circumventing associated laboratory safety issues. To achieve this, we developed a model system comprised of UV-sensitive dyes dissolved in di-ethyl-hexyl-sebacate (DEHS),
- 15 which facilitates the generation of non-evaporating and UV-degradable aerosols. For the selection of UV-sensitive dyes, 20 dyes were tested, and two of them were selected as most suitable according to several selection criteria. Dye-laden aerosol droplets were generated using a commercial aerosol generator and subsequently exposed to UVC radiation in a laboratory-built UV irradiation chamber. We designed a low-pressure impactor to collect the aerosols pre- and post-UV exposure. Dye degradation, as a result of UV light exposure, was then analyzed by
- 20 assessing the concentration changes in the collected dye solutions using a UV-visible spectrophotometer. Our findings revealed that a UV dose of 245 mW·s·cm⁻² resulted in a 10% degradation, while a lower dose of 21.6 mW·s·cm⁻² produced a 5% degradation. In conclusion, our study demonstrates the feasibility of using aerosol droplets containing UV-sensitive dyes to determine the UV radiation dose experienced by an aerosol.



UV-Vis spectra of UV-senstive dye solutions





1 Introduction

- 25 The COVID-19 pandemic, caused by the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), has profoundly impacted both individual lives and the global economy (Dong et al., 2020; Priya et al., 2021). The predominant contributor to these effects is the rapid airborne transmission of the virus, often involving aerosols smaller than five μm and traveling distances exceeding one to two meters from the infected individual (Zayas et al., 2012; Wang et al., 2021; Lefebvre et al., 2022). A diverse, integrated approach has been implemented globally in response to this pandemic. Public health measures such as the enforcement of personal protective equipment
- (PPE) usage, including masks, adherence to social distancing guidelines, and promotion of hand hygiene practices, have contributed to reducing viral transmission to some extent (Muñoz et al., 2021; Leung and Sun, 2020).
 Vaccination serves as an essential strategy to control the COVID-19 pandemic because an effective vaccine could induce an appropriate immune response. However, developing an effective vaccine is a time-consuming process
- 35 due to pre-clinical protocols and three-phase clinical trials necessary to ascertain safety and efficacy. Moreover, environmental variations and differences in population densities across geographical areas can cause the viral genome to mutate, allowing the virus to evade the immune system even after vaccination (Van Dorp et al., 2020; Kaur and Gupta, 2020). Ultraviolet (UV) germicidal irradiation, specifically UVC irradiation within the wavelength range of 200-280 nm (UVC), is known as an effective method for inactivating all known
- 40 microorganisms and viruses (Abkar et al., 2022; Inagaki et al., 2020; Reed, 2010; Biasin et al., 2021). UVC radiation absorption results in the formation of dimeric lesions in the genome of pathogenic microorganisms, which inhibit DNA/RNA replication and inactivate the pathogen (Mankar et al., 2022; Kim and Kang, 2018). Furthermore, UV radiation presents a more environmentally friendly and energy-efficient alternative to liquid disinfectants and heat disinfection for sterilizing liquids, air, and surfaces. Therefore, a deeper understanding of
- 45 using UVC to inactivate pathogenic microorganisms might strengthen our ability to address the public health challenge posed by airborne viruses.

A significant challenge lies in the quantitative determination of the UV radiation dose required to inactivate pathogenic microorganisms (Gandhi et al., 2012; Feng et al., 2010). Although researchers have investigated the

- 50 necessary dose of UV radiation to disinfect coronaviruses, it's noteworthy that the calculated and measured UV dosages in these studies exhibit considerable variations (Walker and Ko, 2007; Buonanno et al., 2020; Tseng and Li, 2005; Terpstra et al., 2007; Pratelli, 2008; Deshmukh and Pomeroy, 1969; Eickmann et al., 2020; Kariwa et al., 2006; Kaur and Gupta, 2020). For instance, even within the 254 nm results, the log-reduction doses ranges widely: it is 0.6 mW·s·cm⁻² for bovine coronavirus, while for SARS (CoV Urbani), it's as high as 11,754 mW·s·cm⁻²
- ² (Heßling et al., 2020). The reasons behind this diversity in reported UV doses remain unclear, but possible factors include differences in culture mediums, experimental facilities, or sample conditions (solid surface, liquid, aerosol) (Biasin et al., 2021). So far, the inactivation of viral aerosols by UVC radiation has not been as extensively studied as it has been for liquids and on solid surfaces (Feng et al., 2010; Welch et al., 2018; Hamzavi et al., 2020; Hijnen et al., 2006; Bohrerova et al., 2005). This is partly due to the high vapor pressure of pure water, which leads to an
- 60 extremely short evaporation time and consequently unstable aerosol droplets. For example, a pure water droplet of 100 nm evaporates in approximately two microseconds (Ferron and Soderholm, 1990). This dynamic change in droplet size can also impact the concentration and susceptibility of airborne microorganisms, presenting a significant challenge to many medical and biological laboratories studying bioaerosols. Moreover, aerosol experts, despite their proficiency in aerosol generation and measurement, often encounter difficulties in conducting direct





- 65 experiments involving pathogenic microorganisms due to the stringent requirement of biosafety laboratory. In this regard, bridging the gap between aerosol scientists and biologists is crucial for a faster and more comprehensive understanding of bioaerosol inactivation using UVC radiation.
- In various studies, UV-sensitive dyes have been tested as model systems to mimic the behavior of pathogenic microorganisms under UV radiation exposure. For example, the degradation of chromophores and fluorophores has been used to measure the radiation doses of UV light with a wavelength of 254 nm, serving as chemical indicators for UV sterilization processes. The decrease in absorbance or fluorescence has been correlated to the radiation dose in W·s·cm⁻² and the reduction in the concentration of microorganisms such as Escherichia coli, Staphylococcus aureus, and Candida albicans (Putt et al., 2012). Moreover, UV-sensitive dyes have been utilized
- 75 to develop colorimetric UV dosimeters that monitor sunlight exposure to prevent skin damage. Wang (Wang et al., 2018) demonstrated a wearable wristband that combined with a colorimetric UV film to indicate the UV dose through the discoloration of a purple photodegradable dye under exposure to UV light. The UV sensing film completely discolors to transparency in two hours under a solar simulator, suggesting its potential as an indicator to help individuals avoid skin damage. Various wearable devices containing UV-sensitive dyes were developed to
- 80 monitor UV exposure, showing that the degree of sun exposure can be quantified with an accuracy rate of 95% by establishing a correlation between the color changes and the dosage of UVA (400-320 nm) and UVB (315-280 nm) radiation received (Kurz et al., 2020). Nevertheless, all these studies involving UV-sensitive dyes focus on assessing the required UV radiation doses either in liquid solutions or on solid surfaces. When UV-sensitive dyes are aerosolized and exposed to UVC light, it is unclear whether the photodegradation of UV-sensitive dyes linearly
- 85 increases with the UV radiation dose. Therefore, the use of UV-sensitive dyes in studying UV effects on aerosol droplets could potentially provide valuable insights into UV disinfection mechanisms and their efficacy.

This study presents the development of a model system that enables the determination of the UV radiation dose experienced by an aerosol, without the need for using microorganisms. This model system can generate stable

- 90 aerosol droplets composed of UV-sensitive dyes and a carrier liquid, di-ethyl-hexyl-sebacate (DEHS). The UV dose received by these aerosol droplets during irradiation can be evaluated by tracking changes in the color intensity of the UV-sensitive dyes. Three critical criteria were established for the selection of UV-sensitive dyes: observable sedimentation, high extinction coefficients in the vicinity of 260 nm, and significant solubility in DEHS. Detailed analysis and discussion were conducted regarding the particle size distribution and number concentration
- 95 of the aerosol droplets generated from these selected UV-sensitive dye solutions A low-pressure impactor was developed to collect the aerosolized droplets, thus enabling the assessment of dye content within the aerosol samples. The concentration of the UV-sensitive dye in the collected liquid was then determined using a UV-Vis spectrometer. The feasibility of using UVC light-emitting-diode (LED) irradiation to degrade the UV-sensitive dye solution was also evaluated in this work. The UV dose experienced by the aerosols was determined by passing
- 100 through a designed UVC irradiation chamber with various residence time. Determination of the UV dose experienced by the aerosols was achieved by irradiating the flowing aerosols in a specifically designed UVC irradiation chamber.

2 Experimental details

2.1 Selection of UV-sensitive dye solutions





- 105 Due to the dynamic nature of the size of water-based aerosols, DEHS was chosen as the carrier liquid to ensure the generation of stable droplets with extended lifetimes, allowing for accurate online measurements. The first step involved selecting a solution containing DEHS and UV-sensitive dyes. 20 types of non-toxic UV-sensitive dyes, including two water-soluble and 18 fluorescent dyes, were tested for suitability. DEHS (CAS-No.: 122-62-3), Erythrosin B (CAS-No.: 568-63-8), and Indigo Carmine (CAS-No.: 865-22-0) were procured from the Merck
- 110 Group (Sigma-Aldrich Chemie GmbH, Taufkirchen, Germany), while a fluorescent dye kit (Part Number: DFKIT-COMP) was purchased from the Risk Reactor Inc. (California, United States of America). Detailed information about all the tested UV-sensitive dyes is provided in table 1. Initially, olutions containing DEHS and UV-sensitive dyes were prepared at a concentration of 100 μg·mL⁻¹ and left undisturbed for 48 hours. Eight of these solutions, which demonstrated no noticeable sedimentation, were selected for further evaluation. The UV-Vis absorbance
- 115 spectra of these eight dye solutions were then determined using an ultraviolet-visible (UV-Vis) spectrophotometer (Cary 500 UV-Vis-NIR Spectrophotometer, Agilent Technologies, USA). Given the varying susceptibility of different UV-sensitive dyes to UVC exposure, those with UVC susceptibility similar to deoxyribonucleic acid (DNA) or ribonucleic acid (RNA) were identified for further investigation. In the third stage, the relationship between absorbance and concentration of the dye solutions was analyzed to quantitatively assess their solubility
- 120 in DEHS. The selected dyes were prepared at concentrations of 20 μg·mL⁻¹, 15 μg·mL⁻¹, 10 μg·mL⁻¹, 5 μg·mL⁻¹, and 1 μg·mL⁻¹, after which their UV-Vis absorbance spectra were measured from 260 nm to 800 nm at two nm intervals. The maximum peak absorbance in the visible region was identified for each dye. All solutions were prepared with highly accurate graduated pipettes and an analytical balance (XS205, Mettler-Toledo AG, Switzerland). To ensure an accurate representation of the average absorbance values, all concentrations were

| 125 | prepared i | in triplicate. | |
|-----|------------|----------------|--|
|-----|------------|----------------|--|

| Sample No. | Dye Info. | Manufacturer |
|------------|---------------------|-------------------|
| #1 | Erythrosine B | Sigma-Aldrich |
| #2 | Indigo Carmine | Sigma-Aldrich |
| #3 | DFSB-K427 | Risk Reactor Inc. |
| #4 | DFSB-K400 | Risk Reactor Inc. |
| #5 | DFSB-K87 | Risk Reactor Inc. |
| #6 | DFSB-K41-50 | Risk Reactor Inc. |
| #7 | DFSB-K44-65 | Risk Reactor Inc. |
| #8 | DFSB-K52 | Risk Reactor Inc. |
| #9 | DFSB-K160 | Risk Reactor Inc. |
| #10 | DFSB-K401 | Risk Reactor Inc. |
| #11 | DFSB-K413 | Risk Reactor Inc. |
| #12 | DFWB-K1-60 | Risk Reactor Inc. |
| #13 | DFWB-K7 | Risk Reactor Inc. |
| #14 | DFSB-K149 | Risk Reactor Inc. |
| #15 | DFWB-K250 | Risk Reactor Inc. |
| #16 | TACID9501 | Risk Reactor Inc. |
| #17 | DFSB-K184 | Risk Reactor Inc. |
| #18 | DFSB-K40 & DFWB-K40 | Risk Reactor Inc. |
| #19 | DFSB-K52-55 | Risk Reactor Inc. |
| #20 | DFWB-K73-51 | Risk Reactor Inc. |

Table 1:Summarized information on all the tested UV-sensitive dyes.

2.2 Experimental setup for investigation of aerosols containing UV-sensitive dyes

2.2.1 Low-pressure impactor for aerosol droplet collection





To evaluate the concentration changes of dye-laden aerosols, a one-stage low-pressure impactor (LPI) was developed for collecting aerosol droplets both before and after UV irradiation treatment. Under the assumption of no evaporation and complete collection of all aerosol particles, the concentration inside the aerosol droplets should remain unchanged. The LPI design was based on the geometry of an electrostatic precipitator developed at the institute of technology for nanostructures (NST, University of Duisburg-Essen, Duisburg, Germany), as illustrated in Fig. 1. The inlet gas flow could be controlled via different critical orifices to adjust the desired residence time

- 145 in the UV irradiation zone. The outlet of the LPI was connected to a rotary vane pump (Type 301853, ILMVAC GmbH, Ilmenau, Germany), maintaining the measured pressure inside the impactor chamber at less than 0.22 bar. As shown in Fig. 1, the distance between the collecting substrate and the aerosol outlet z was 5 mm. The collection efficiency of the LPI was determined by comparing the aerosol droplets mass concentration, as obtained by a tapered element oscillating microbalance (TEOM; Model 1405, Thermo Fisher Scientific, Waltham, USA), to the
- 150 collected liquid mass, under defined aerosol flow and collection time. The impact of sampling using the lab-built impactor on the UV-Vis spectra of UV-sensitive dyes (#4 and #7 in Table 1) was also investigated. UV-sensitive dye solutions at various concentrations (20 μg·mL⁻¹, 15 μg·mL⁻¹, 10 μg·mL⁻¹, 5 μg·mL⁻¹ and 1 μg·mL⁻¹) were applied to generate aerosols using a commercial aerosol droplet generator (AGF 2.0, Palas GmbH, Germany). Droplets of each concentration were collected over a two-hour period using the lab-built impactor, equipped with
- 155 a 1 mm critical orifice (gas flow 8.8 L·min⁻¹). The collected liquids were transferred to a quartz submicron cuvette (Part number: 6610024100, Agilent Technologies, Santa Clara, USA), having a minimum capacity of 80 μL, for UV-Vis spectra measurements. The corresponding concentration of dye solutions was determined using the previously mentioned UV-Vis spectrophotometer.

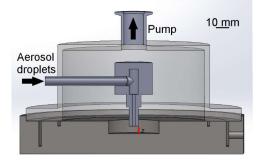


Figure 1: Schematic of the designed low-pressure impactor for aerosol collection in this study.

2.2.2 UV irradiation of UV-sensitive dyes

- 160 Before constructing the UV irradiation chamber, a UVC LED module with a wavelength of 275 nm (part number: 37337, Lumitronix LED-Technik GmbH, Hechingen, Germany) was employed to assess whether the selected dyes would degrade upon UV irradiation. As depicted in Fig. 2, one milliliter of the dye solutions was added to the aforementioned cuvette used for measuring collected aerosol droplets. A specially designed 3D-printed fixture held the quartz cuvette in a fixed position as it underwent irradiation for various durations. A UV light meter (UV-
- 165 integrator Typ D, Beltron GmbH, Roedermark, Germany) was used to measure the radiation intensity (mW·cm⁻²) at the same position. The UV radiation dose (mW·s·cm⁻²) was calculated by multiplying the measured intensity (mW·cm⁻²) by the irradiation duration (seconds). The UV-Vis absorbance spectra of the dye solutions were recorded both before and after irradiation.







Figure 2: Experimental apparatus used to study the dye solution degradation upon UVC irradiation.

- 170 Figure 3 shows a schematic overview of the aerosol irradiation chamber. The constructed chamber comprises a quartz tube, a UVC LED array, and 3D printed fixtures. The UVC LED array (Part number: ILS-XN12-S260-0280-SC201-W2, Intelligent LED Solutions, Berkshire, United Kingdom) consists of 12 UVC LEDs (with a radiation peak at 270 nm) that are connected and arrayed linearly to electronic printed circuit boards (PCB). A heat sink and two cooling fans were implemented to enhance the performance of the UV LEDs by dissipating heat from
- 175 the PCB. In this setup, the UVC LED array was positioned with its surface parallel to the bottom of the quartz tube, at a one-centimeter distance. The UV light traveled through the quartz tube and irradiated the flowing aerosols within the chamber. The intensity of the UV radiation is adjustable by modulating the current of the UVC LED array (300-1050 mA). A radiometer, equipped with a UV-3726-5 detector (X1-5, Gigahertz Optik GmbH, Tuekenfeld, Germany) and calibrated with a 260-290 nm LED, was applied for the measurement of the UVC
- radiation intensity. To assess the average radiation intensity inside the chamber, a detector fixture was designed to position the detector surface at half the height of the chamber. The UV intensity experienced by an aerosol droplet was then determined by averaging the measured intensities at five different spots. The exposure time t of the aerosol to the UV radiation is approximated by the mean residence time of the aerosol in the device, defined as t = V/q, with V the effective irradiation volume of the quartz tube (777 cm³) and q the aerosol flow rate. Table 2 summarizes the specifications of the UV chamber and the calculated UV dose used in this study.

Table 2: Specifications of the UV irradiation chamber applied in this study to irradiate aerosols.

| UV irradiation chamber with various dose settings | | | | | |
|---|-----------------------------|----------------------------|----------------------------|--|--|
| Critical orifice | 0.3 mm | 0.5 mm | 1.0 mm | | |
| Calibrated gas flow | 0.78 L·min ⁻¹ | 2.26 L · min ⁻¹ | 8.86 L·min ⁻¹ | | |
| Chamber irradiation length | 275 mm | 275 mm | 275 mm | | |
| Chamber internal diameter | 600 mm | 600 mm | 600 mm | | |
| Exposure time | 59.8 s | 20.6 s | 5.3 s | | |
| UVC LED current | 1000 mA | 1000 mA | 1000 mA | | |
| Calibrated intensity | 4.10 mW · cm ⁻² | 4.10 mW·cm ⁻² | 4.10 mW · cm ⁻² | | |
| UV radiation dose | 245.1 mW·s·cm ⁻² | 84.6 mW·s·cm ⁻² | 21.6 mW·s·cm ⁻² | | |

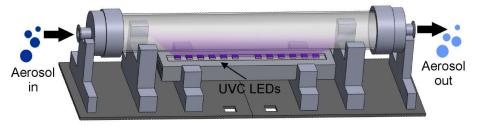


Figure 3: Schematic overview of the constructed UV irradiation chamber.





2.2.3 Characterization of aerosol droplets containing UV-sensitive dyes

- Figure 4 presents the schematic of the overall experimental setup for studying aerosol droplets containing UVsensitive dyes. Brirely, a liquid nebulizer featuring a two-substance nozzle and a cyclone $(d_{p, max} = 2 \ \mu m)$ was used to generate aerosols from the selected UV-sensitive dye solutions. The aerosol particle size distribution and concentration, both before and after passing through the designed UV irradiator, were investigated using online measurements. This study applied conventional aerosol measurement devices, including a scanning mobility particle sizer (SMPS; Model 3938, TSI, Minneapolis, USA), an electrical low-pressure impactor (ELPI; Model
- 195 ELPI+, Dekati Ltd., Tampere, Finland), and a tapered element oscillating microbalance (TEOM). An aerosol dilution system with a dilution ratio of 100 (VKL10 + VKL10 cascade system, Palas GmbH, Karlsruhe, Germany) was utilized to lower the particle number concentration for the standard online instruments. This dilution technique did not significantly alter the particle size distribution. The above-mentioned low-pressure impactor was employed to collect aerosols before and after passing through the designed UV irradiation chamber, to characterize how the selected dyes would degrade upon UV irradiation. A UV-Vis spectrophotometer was used to determine the changes
- 200 selected dyes would degrade upon UV irradiation. A UV-Vis spectrophotometer was used to determine the changes in dye concentration of the collected liquids before and after UV irradiation.

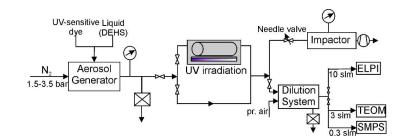


Figure 4: Experimental setup to investigate the effect of UV irradiation on the concentration of aerosol droplets before and after irradiation.

3 Results and discussion

3.1 Generation of an aerosol containing UV-sensitive dyes

3.1.1 Selection of UV-sensitive dyes with high solubility in DEHS (three criteria)

- 205 As noted earlier, due to the rigorous requirements of biosafety laboratories, many aerosol laboratories are often unable to conduct experiments with pathogenic microorganisms. In this study, DEHS was chosen as the carrier liquid for generating non-biological and stable aerosol droplets. Consequently, a key criterion for selecting suitable UV-sensitive dyes was their high solubility in DEHS. Solubility can range from infinite to virtually insoluble, and the threshold value definition varies depending on the application. To rapidly screen UV-sensitive dyes soluble in
- 210 DEHS, solutions were prepared at a dye concentration of 100 μg·mL⁻¹. These solutions were then left undisturbed for 48 hours, during which the sedimentation process was recorded, as demonstrated in Fig. 5. From theses visual observations, eight solutions with no significant sedimentation were chosen for the next step: dyes #1, #3, #4, #6, #7, #9, #10, and #14. An overview of all measurements conducted for the selection of UV-sensitive dyes is provided in Table 3.





| Sample No. | Step 1 Sedimentation | Step 2 UV-Vis absorbance | Step 3 Linear relationship |
|------------|-------------------------|-----------------------------|-------------------------------|
| #1 | √ | ✓ | × |
| #2 | √ | × | × |
| #3 | √ | ✓ | ✓ |
| #4 | √ | ✓ | ✓ |
| #5 | √ | × | × |
| #6 | √ | ✓ | ✓ |
| #7 | √ | ✓ | ✓ |
| #8 | √ | × | × |
| #9 | √ | ✓ | × |
| #10 | √ | ✓ | × |
| #11 | √ | × | × |
| #12 | √ | × | × |
| #13 | √ | × | × |
| #14 | √ | ✓ | × |
| #15 | √ | × | × |
| #16 | 1 | × | × |
| #17 | √ | × | × |
| #18 | √ | × | × |
| #19 | √ | × | × |
| #20 | √ | × | × |

215 Table 3: Comprehensive overview of measurements conducted for UV-sensitive dye selection.

The ultraviolet light spectrum theoretically ranges from 100 to 480 nm and is divided into four regions: UVA (320-400 nm), UVB (290-320 nm), UVC (200-290 nm), and VUV (100-200 nm). UVC radiation is the most potent for pathogen inactivation as the maximum absorbance of DNA and RNA occurs around 260 nm (Mankar et al., 2022). Consequently, another key criterion for selecting a UV-sensitive dye is its high absorption capacity around 260

- 220 nm. For this consideration, the UV-Vis absorbance spectra of all eight dye solutions were measured. It should be mentioned that pure DEHS liquid was used as the baseline, and all spectra were derived by subtraction this baseline. The similarity of these spectra led to their division into three groups. As detailed in Fig. 6, it can be observed that two of the tested dyes (categorized as Group A) might undergo chemical reactions or polarization with DEHS liquid, as indicated by broad absorption peaks. Sharp absorption peaks in UV-Vis spectroscopy are desired in this
- 225 study to quantitatively evaluate concentration changes after UV irradiation. Thus, the Group A dyes were deemed unsuitable for further investigation. In contrast, the two dyes categorized as Group B displayed sharp absorption peaks in the visible region. For comparison, all spectra were normalized at the wavelength where maximal absorption peak occurs. However, these Group B dyes failed to show significant absorption characteristics near the desired 260 nm range, leading to their exclusion from subsequent studies. Only four dyes, assigned to Group
- 230 C, fulfilled this criterion. These dyes displayed distinct absorption characteristics near 260 nm and sharp absorption peaks in the visible region.



Figure 5: Sedimentation observation of 20 various dye solutions.





To ensure a homogeneous dye distribution within the aerosol droplet, it is essential to have a high dissolution rate for the selected dye in the DEHS solvent. The correlation between the absorbance and the concentration of the dye solutions was examined to quantitatively evaluate the solubility level of the chosen dyes (#3, #4, #6, #7 in Tab. 1).

Figure 7 presents the typical UV absorption spectra of an unsuitable dye (#3) and a suitable dye (#4) with concentration ranging from 1 μg·mL⁻¹ to 20 μg·mL⁻¹. A linear fit was established for each dye based on the maximum absorbance in the visible spectrum region. The results revealed that two of the tested dyes (#4 and #7) had a goodness of fit (R-squared) value greater than 0.99 in a simple linear regression, suggesting superior dissolution and long-term stability in DEHS. As a result, these two dyes (#4 and #7) with higher linearity were selected as candidates for the model system in this research study, as dye degradation was used to quantify the UV radiation dose.

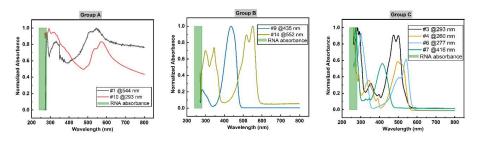


Figure 6: UV-Vis Spectra of dye solutions, where only group C is suitable due to their absorption characteristic in the vicinity of 260 nm and in the visible region.

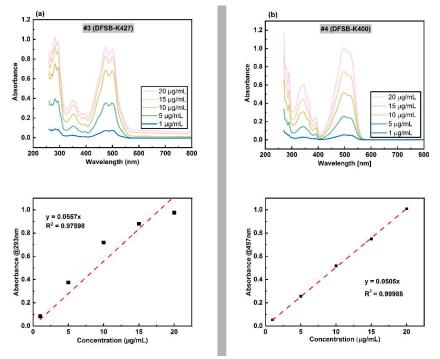


Figure 7: UV-Vis spectra with various concentrations (top) and the corresponding standard curve for dye solutions (bottom), where #3 (left) is the typical example of unsuitable dye and #4 (right) is an example of suitable dye.





3.1.2 Effect of the addition of UV-sensitive dyes on the particle size distribution

Our main objective was to develop a stable dye-laden aerosol model to estimate the UV radiation dose experienced by an aerosol droplet. Previous research has suggested that most exhaled aerosol droplets are smaller than 5 µm, 245 including aerosols produced by breathing, speaking, and coughing. More recent studies have shown that aerosols smaller than 1 µm pose significant concern regarding disease transmission since these smaller particles remain in the air longer and can deposit in the respiratory tract to initiate infection (Wang et al., 2018). Therefore, this study on determining the UVC radiation dose required for aerosols primarily focused on evaluating smaller particlesized aerosols. A commercial aerosol generator was employed to atomize DEHS liquid, where the carrier gas flow 250 is adjustable by setting the operation pressure of compressed nitrogen. The aerosol generator was equipped with a cyclone ($d_{p, max} = 2 \mu m$) so that aerosols larger than 2 μm would not leave the generator. Figure 8 shows the particle size distribution, based on the mobility equivalent diameter measured by SMPS and the Stokes diameter measured by ELPI, of DEHS aerosols produced at various operating pressures of the aerosol generator. It is evident that an increase in the operating pressure correspondingly increased the particle number concentration, while the particle 255 size distribution remained relatively unchanged. The generated aerosol droplets exhibited a size distribution similar to that of most respiratory droplets, with a large fraction is smaller than 1 µm and a peak around 0.2 to 0.8 µm

(Morawska et al., 2009; Zayas et al., 2012; Fabian et al., 2011). Furthermore, the particle number concentration of the DEHS droplets produced at a pressure of 2.0 bar aligns closely with the concentration of the cough-generated droplets (Zayas et al., 2012). For these reasons, a pressure of 2.0 bar was chosen to produce DEHS aerosols in subsequent studies.

In addition, the influence of adding UV-sensitive dye on the particle size distribution of DEHS aerosols was investigated. The previously selected dyes, either #4 or #7, were dispersed in DEHS liquid to prepare dye solutions with a concentration of 10 µg·mL⁻¹, respectively. These prepared dye solutions were fed into the aerosol generator,
which was subsequently driven by a pressure of 2.0 bar to generate droplets containing UV-sensitive dyes. Figure 9 displays the particle size distribution and number concentration of the generated dye-laden droplets as well as pure DEHS droplets. All measurements, including aerosol generation and online characterization, were conducted continuously over a one-hour period. Based on these experimental observations, the slight fluctuation in the number concentration is likely due to the instability of the aerosol generator. Therefore, it can be concluded that the addition of UV-sensitive dyes (at 10 µg·mL⁻¹) does not significantly affect the particle size and number

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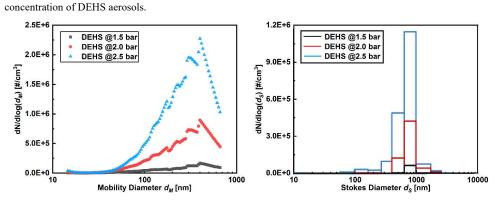


Figure 8: Particle size distribution of DEHS aerosols at various operating pressures of the aerosol generator.



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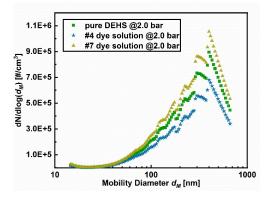


Figure 9: Comparison of particle size distribution (measured by SMPS) of aerosols generated from dye solutions and pure DEHS, respectively.

3.2 Effect of impactor sampling on dye content in aerosols

Sampling airborne pathogens poses significant challenges as most bioaerosols are water-based, and their particle size can change due to evaporation during the sampling process. In this study, DEHS, an oily liquid, was chosen to generate aerosols, eliminating the complexity associated with liquid evaporation. A lab-built impactor was designed to collect dye-containing droplets before and after their passage through a UV irradiation chamber. The aerosol mass concentration was directly measured online using a TEOM to estimate the collection efficiency. Concurrently, aerosol droplets were collected using the impactor at a defined aerosol flow rate (8.8 L·min⁻¹) for one hour. Dye solutions with concentrations ranging from 1 µg·mL⁻¹ to 20 µg·mL⁻¹ were utilized for these

280 measurements. The collection efficiency was determined to be above 90% by comparing the mass output of the aerosol generator to the collected liquid. Moreover, the absorbance of all collected liquids was measured to compare the concentration with those in the liquid reservoir of the aerosol generator, as demonstrated in Fig. 10.

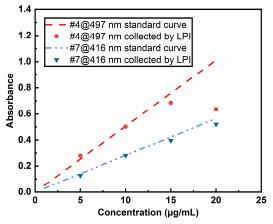


Figure 10: Effect of LPI collection on the dye concentrations by using various concentrations of dye solutions.

Notably, concentration deviations became increasingly pronounced when higher concentrations of dye solutions (> 15 µg·mL⁻¹) were used to generate aerosols. This observation could potentially be explained by the dependence of the dye concentration in a droplet on the size of the droplet. Both the measured and theoretically calculated results revealed that the maximal collection volume of DEHS droplets, using the aerosol generator AGF 2.0 at a



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pressure of 1.5 bar, was at 96 μ L·h⁻¹. In the current study, a one-stage impactor was developed to capture the generated polydisperse droplets for UV-Vis spectrum analysis, which expedited the collection process and efficiency. However, this approach did not consider the potential influence of droplet size on the dye concentration and degradation. Future studies should generate or classify narrower aerosol fractions instead of a broad aerosol distribution to investigate potential particle size effects. To mitigate the effect of dye concentration deviation, a concentration of 10 μ g·mL⁻¹ was employed, ensuring that each dye (#4, #7) remained well within the linear range

for the UVC degradation studies.

3.3 Determination of dye degradation of selected UV-sensitive dyes

- 295 Currently, most UV disinfection systems for water, air, and surfaces continue to utilize conventional low- or medium-pressure mercury lamps. The primary concern with these lamps is their fragility and their containment of toxic mercury, which poses environmental hazards and requires proper disposal. UV LEDs, on the other hand, are emerging as a popular, environmentally friendly alternative. Their compact size simplifies their integration into sterilization systems, and they offer a diverse range of wavelengths (Kim and Kang, 2018; Song et al., 2016).
- 300 Considering the above reasons, this study employed UVC LEDs to investigate dye degradation upon UV radiation. To characterize the degradation of the selected UV-sensitive dyes upon UV irradiation, 1 ml of a 10 µg·mL⁻¹ dye solution was added to a quartz cuvette. Figure 11 presents the measured UV-Vis spectra of dye solutions before and after irradiation with various UV radiation doses. As the UV radiation dose increased, the maximal absorbance values in the visible region decreased noticeably. Moreover, despite a similar degradation trend observed for the
- two tested dye solutions, dye solution #4 demonstrated a higher sensitivity to UVC irradiation at 275 nm. It is worth noting a prior study that used the degradation of water-based dye solutions to measure UV dose. This study irradiated a dye solution on (1 ml and 10 μg·mL⁻¹) in a quartz cuvette with 254 nm UV light. It was observed that the required UV dosage for the degradation of dye #4 (497 nm) and dye #7 (419 nm) aligns with the order of magnitude specified for Fast Green (624 nm), Allura Red (495 nm), and Tartrazine (426 nm) by the study conducted by Putt (Putt et al., 2012).

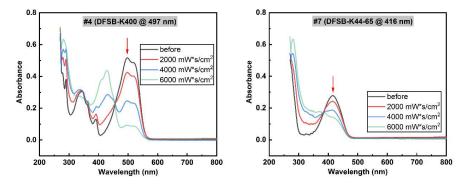


Figure 11: Dye solution degradation upon UV irradiation using a UVC LED (275 nm).

Based on prior research indicating that dye #4 displayed heightened sensitivity to UVC radiation, this dye solution was chosen for aerosol droplet generation. The UV dose received by the droplets was estimated by multiplying the UV intensity by the average residence time of aerosols inside the exposure chamber. In the current study, the UV radiation dose was modulated by controlling the carrier gas flow rate, where the applied current of the UVC





- 315 LED array was maintained to 1000 mA. The estimated UV radiation doses were 245.1 mW·s·cm⁻² at 0.78 l·min⁻¹, 84.6 mW·s·cm⁻² at 2.26 l·min⁻¹, and 21.6 mW·s·cm⁻² at 8.86 l·min⁻¹, respectively. The influence of UV dose on the degradation of dye-laden aerosols can be demonstrated in Fig. 12. When exposed to a 270 nm UVC array at a dose of 245.1 mW·s·cm⁻², an approximate 10% degradation of the dyes within the aerosols sampled was noted. Meanwhile, a lower UV dose of 21.6 mW·s·cm⁻² was capable of degrading 5% of the dye-containing aerosol
- 320 droplets. These results suggest a non-linear relationship between the survival of UV-sensitive dyes and the increase in UV radiation doses. It's worth noting that increasing the UV dose by extending droplet residence time in the exposure section is not ideal. Observations revealed that a longer residence time resulted in droplets deposition on the quartz tube wall. This occurrence reduces the collection efficiency by the low-pressure impactor and diminishes the UV radiation intensity entering the chamber. Therefore, it is recommended to adjust the UV dose by altering
- the power of UVC LEDs and limiting the residence time of aerosol droplets.

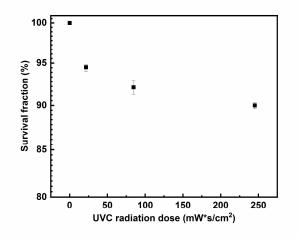


Figure 12: Effect of UVC radiation dose on the dye survival within aerosol droplets.





4 Conclusions

It is known that aerosolized viruses can effectively be disinfected by the use of UVC radiation, where its effectiveness depends on the UV dose experienced by the aerosol. The dose required for disinfection can be determined on immobilized viruses in biosafety laboratories, whereas determining the dose experienced by an aerosol in a given UV disinfection apparatus or a room equipped with UV disinfection can conveniently be done with the help of suitable nonbiogenic aerosols. Here, this study proposes a model system consisting of nonevaporating DEHS droplets containing a UV-sensitive dye. From an initial selection of 20 UV-sensitive dyes, only two were deemed suitable based on key selection criteria: prominent absorption characteristics around 260 nm and high solubility in DEHS. Moreover, it has been demonstrated that adding UV-sensitive dyes (10 μg·mL⁻¹)
did not affect the particle size and number concentration of DEHS-based aerosols. For analyzing the concentration

- 335 did not affect the particle size and number concentration of DEHS-based aerosols. For analyzing the concentration changes before and after passing through a UV irradiation chamber, a low-pressure impactor was designed to collect dye-containing aerosol droplets and transfer the liquid into a quartz cuvette. The potential of using UVC LED irradiation to degrade the UV-sensitive dye solution was also examined, leading to the development of a UV radiation chamber capable of modulating the UV dose. The self-built UVC irradiation chamber allows for the
- 340 quantitative determination of the UV dose experienced by aerosols with UV-sensitive dyes. The obtained results indicate a non-linear correlation between the survival rate of UV-sensitive dyes and the increase in UV radiation doses. Specifically, a UVC dose of 245.1 mW·s·cm⁻² at 270 nm degraded approximately 10% of the dyes in DEHS aerosols, while a lower dose of 21.6 mW·s·cm⁻² degraded 5% of the dye-laden aerosols. In summary, our study demonstrated the feasibility of quantitatively determining the UV radiation dose experienced by an aerosol droplet
- 345 by incorporating UV-sensitive dyes into droplets. Further research is necessary to understand the impact of the suspending medium and the aerosol droplet size on the required UV dose for dye degradation.

350 CRediT authorship contribution statement

Frank Einar Kruis: Supervision, Funding acquisition, conceptualization, review & editing. **Qingqing Fu:** Conceptualization, Investigation, Visualization, Writing – original draft preparation. All authors have read and agreed to the published version of the manuscript.

355 Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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